

## 0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR also maintains and updates the General Plan Guidelines, the California Environmental Quality Act Guidelines, and operates the CEQA Clearinghouse. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state, and runs the State Clearinghouse, coordinating CEQA filings and state compliance issues.

### 3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
0360	State Planning & Policy Development	17.9	10.7	12.5	\$13,981	\$9,507	\$14,410
0365	California Volunteers	15.2	21.7	21.9	25,397	32,141	31,730
0370	Strategic Growth Council	5.6	6.0	6.0	795	366,000	400,141
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>38.7</b>	<b>38.4</b>	<b>40.4</b>	<b>\$40,173</b>	<b>\$407,648</b>	<b>\$446,281</b>
<b>FUNDING</b>					<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
0001	General Fund				\$10,507	\$8,861	\$13,979
0890	Federal Trust Fund				27,570	28,471	27,980
0995	Reimbursements				1,008	4,019	4,022
3228	Greenhouse Gas Reduction Fund				795	366,000	400,000
9740	Central Service Cost Recovery Fund				293	297	300
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$40,173</b>	<b>\$407,648</b>	<b>\$446,281</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940, and 65944; Health and Safety Code Sections: 25199.4, 25199.7, and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9(c), 21161, 21162, 21165, 71350, 71354, 71358, 71360, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, and 16000-16041.

### MAJOR PROGRAM CHANGES

- Precision Medicine - The Budget includes \$10 million on a one-time basis to the Office of Planning and Research (OPR) to further the efforts of the California Initiative to Advance Precision Medicine (CIAPM), and build on the \$3 million appropriation made in the 2014 Budget Act. Hosted by the University of California (UC) San Francisco and University of California Health through an interagency agreement with OPR, CIAPM currently funds two demonstration projects: the Kids Cancer Comparison Project, led by UC Santa Cruz, and the Precision Diagnosis of Acute Infectious Disease project, led by UC San Francisco, with both projects representing multi-institution collaboration and in-kind support. The \$10 million augmentation will fund additional demonstration projects in precision medicine over a multi-year period and facilitate additional multi-institution and private-sector partnerships, with a portion of this funding subject to non-state matching funds. Projects will be expected to demonstrate concrete results and findings related to uses of precision medicine within a 24-month period.

### DETAILED BUDGET ADJUSTMENTS

		2015-16*			2016-17*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
• Precision Medicine		\$-	\$-	-	\$10,000	\$-	-
• Local Control Funding Formula Evaluation Rubrics		-	-	-	548	-	-
• Integrated Climate Adaptation and Resiliency Program (SB 246)		-	-	-	300	-	2.0

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**0650 Office of Planning and Research - Continued**

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• CEQANet 2.0 Database Transfer, Upgrade, Hosting, and Maintenance	-	-	-	200	-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$11,048</b>	<b>\$-</b>	<b>2.0</b>
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	\$628	\$331	-	\$534	\$281	-
• Miscellaneous Baseline Adjustments	1,000	-33,608	1.0	139	-16	1.0
• Salary Adjustments	25	63	-	24	61	-
• Benefit Adjustments	13	31	-	16	40	-
• Retirement Rate Adjustments	8	18	-	8	18	-
• Pro Rata	-	-	-	-	70	-
• SWCAP	-	-	-	-	1	-
• Budget Position Transparency	-628	-331	-22.3	-534	-281	-21.8
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$1,046</b>	<b>-\$33,496</b>	<b>-21.3</b>	<b>\$187</b>	<b>\$174</b>	<b>-20.8</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$1,046</b>	<b>-\$33,496</b>	<b>-21.3</b>	<b>\$11,235</b>	<b>\$174</b>	<b>-18.8</b>
<b>Totals, Budget Adjustments</b>	<b>\$1,046</b>	<b>-\$33,496</b>	<b>-21.3</b>	<b>\$11,235</b>	<b>\$174</b>	<b>-18.8</b>

**PROGRAM DESCRIPTIONS****0360 - STATE PLANNING AND POLICY DEVELOPMENT**

The major activities of OPR include: (1) recommending and implementing state policies on land-use and growth planning, including General Plan Guidelines; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to, and develop guidelines for, local governments on land use planning and compliance with environmental statutes and regulations; (6) developing a program to coordinate regional and local efforts with state climate adaptation strategies on the impacts of climate change ; (7) supporting drought response efforts; (8) supporting development of state plans including, but not limited to, the Water Action Plan work, State Multi-Hazard Mitigation Plan, Safeguarding California Plan, the Integrated Energy Policy Report, and the 5-Year Infrastructure Plan; (9) supporting multiple efforts to address climate change and response to carbon pollution; and (10) conducting other activities as the Governor may direct.

**0365 - CALIFORNIA VOLUNTEERS**

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

**0370 - STRATEGIC GROWTH COUNCIL**

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Affordable Housing and Sustainable Communities Program and the Sustainable Agricultural Lands Conservation Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council also administers a pilot technical assistance program for the Affordable Housing and Sustainable Communities Program to support the development of projects in disadvantaged communities that maximize greenhouse gas reductions. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council oversees the Administration's Health in All Policies program, sponsors research on infill development, conservation, and other planning issues, and is the administrator for the Greenhouse Gas Reduction Investment program for Affordable Housing and Sustainable Communities.

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**0650 Office of Planning and Research - Continued****DETAILED EXPENDITURES BY PROGRAM**

		<u>2014-15*</u>	<u>2015-16*</u>	<u>2016-17*</u>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0360</b>	<b>STATE PLANNING &amp; POLICY DEVELOPMENT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,731	\$3,031	\$13,009
0890	Federal Trust Fund	25	159	81
0995	Reimbursements	493	1,020	1,020
9740	Central Service Cost Recovery Fund	293	297	300
	<b>Totals, State Operations</b>	<b>\$5,542</b>	<b>\$4,507</b>	<b>\$14,410</b>
	<b>Local Assistance:</b>			
0001	General Fund	\$5,000	\$5,000	\$-
0890	Federal Trust Fund	3,439	-	-
	<b>Totals, Local Assistance</b>	<b>\$8,439</b>	<b>\$5,000</b>	<b>\$-</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0365</b>	<b>CALIFORNIA VOLUNTEERS</b>			
	<b>State Operations:</b>			
0001	General Fund	\$776	\$830	\$829
0890	Federal Trust Fund	1,196	1,897	1,899
0995	Reimbursements	515	2,999	3,002
	<b>Totals, State Operations</b>	<b>\$2,487</b>	<b>\$5,726</b>	<b>\$5,730</b>
	<b>Local Assistance:</b>			
0890	Federal Trust Fund	\$22,910	\$26,415	\$26,000
	<b>Totals, Local Assistance</b>	<b>\$22,910</b>	<b>\$26,415</b>	<b>\$26,000</b>
	<b>PROGRAM REQUIREMENTS</b>			
<b>0370</b>	<b>STRATEGIC GROWTH COUNCIL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$-	\$141
3228	Greenhouse Gas Reduction Fund	795	1,840	1,271
	<b>Totals, State Operations</b>	<b>\$795</b>	<b>\$1,840</b>	<b>\$1,412</b>
	<b>Local Assistance:</b>			
3228	Greenhouse Gas Reduction Fund	\$-	\$364,160	\$398,729
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$364,160</b>	<b>\$398,729</b>
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	8,824	12,073	21,552
	Local Assistance	31,349	395,575	424,729
	<b>Totals, Expenditures</b>	<b>\$40,173</b>	<b>\$407,648</b>	<b>\$446,281</b>

**EXPENDITURES BY CATEGORY**

	<b>1 State Operations</b>					
	<b>Positions</b>			<b>Expenditures</b>		
	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>PERSONAL SERVICES</b>						
Baseline Positions	56.7	59.7	59.2	\$3,272	\$3,532	\$3,388
Budget Position Transparency	-	-22.3	-21.8	-	-959	-815
Total Adjustments	-18.0	1.0	3.0	-498	150	530
<b>Net Totals, Salaries and Wages</b>	<b>38.7</b>	<b>38.4</b>	<b>40.4</b>	<b>\$2,774</b>	<b>\$2,723</b>	<b>\$3,103</b>
Staff Benefits	-	-	-	1,209	1,407	1,529

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**0650 Office of Planning and Research - Continued**

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
<b>Totals, Personal Services</b>	<b>38.7</b>	<b>38.4</b>	<b>40.4</b>	<b>\$3,983</b>	<b>\$4,130</b>	<b>\$4,632</b>
OPERATING EXPENSES AND EQUIPMENT				\$4,841	\$7,943	\$16,920
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$8,824</b>	<b>\$12,073</b>	<b>\$21,552</b>

2 Local Assistance	Expenditures		
	2014-15*	2015-16*	2016-17*
Grants and Subventions - Governmental	\$31,349	\$395,575	\$424,729
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$31,349</b>	<b>\$395,575</b>	<b>\$424,729</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,122	\$2,315	\$13,479
Allocation for employee compensation	22	25	-
Allocation for staff benefits	1	13	-
Budget Position Transparency	-	-628	-
Chaptered Legislation (AB 2138) CH. 678/14	75	-	-
Expenditure by Category Redistribution	-	628	-
Miscellaneous Technical Adjustments: Innovation Awards and FTF Adjustment	-75	-	-
Past year adjustments	1	-	-
Section 3.60 pension contribution adjustment	23	8	-
Chapter 47, Statutes of 2013	1,250	-	-
Align Expenditures per Chapter 47, Statutes of 2013	-500	-	-
Miscellaneous Technical Adjustments: Innovation Awards and FTF Adjustment	75	-	-
Eligibility Study Funding per Chapter 22 and Chapter 324, Statutes of 2015	-	1,000	-
Prior Year Balances Available:			
Chapter 47, Statutes of 2013	-	500	500
<b>Totals Available</b>	<b>\$5,994</b>	<b>\$3,861</b>	<b>\$13,979</b>
Unexpended balance, estimated savings	-52	-	-
Balance available in subsequent years	-435	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,507</b>	<b>\$3,861</b>	<b>\$13,979</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,820	\$2,031	\$1,980
Allocation for employee compensation	12	14	-
Allocation for staff benefits	1	7	-
Budget Position Transparency	-	-148	-
Budget Revision-02 per Control Section 28, Budget Act 2014	77	-	-
Expenditure by Category Redistribution	-	148	-
Past year adjustments	-701	-	-
Section 3.60 pension contribution adjustment	12	4	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,221</b>	<b>\$2,056</b>	<b>\$1,980</b>

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**0650 Office of Planning and Research - Continued**

<b>1 STATE OPERATIONS</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	<u>\$1,008</u>	<u>\$4,019</u>	<u>\$4,022</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,008</b>	<b>\$4,019</b>	<b>\$4,022</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$799	\$1,199	\$1,271
Allocation for employee compensation	12	13	-
Allocation for staff benefits	1	6	-
Budget Position Transparency	-	-139	-
Disadvantaged Communities Technical Assistance	-	118	-
Disadvantaged Communities Technical Assistance per Chapter 321, Statutes of 2015	-	500	-
Expenditure by Category Redistribution	-	139	-
Section 3.60 pension contribution adjustment	<u>12</u>	<u>4</u>	<u>-</u>
<b>Totals Available</b>	<b>\$824</b>	<b>\$1,840</b>	<b>\$1,271</b>
Unexpended balance, estimated savings	<u>-29</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$795</b>	<b>\$1,840</b>	<b>\$1,271</b>
<b>3259 Recidivism Reduction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,000	-	-
One-Time Cost Adjustment (Recidivism Reduction Plan)	<u>-5,000</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$288	\$290	\$300
Allocation for employee compensation	4	4	-
Allocation for staff benefits	-	2	-
Budget Position Transparency	-	-44	-
Expenditure by Category Redistribution	-	44	-
Past year adjustments	-3	-	-
Section 3.60 pension contribution adjustment	<u>4</u>	<u>1</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$293</b>	<b>\$297</b>	<b>\$300</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$8,824</b>	<b>\$12,073</b>	<b>\$21,552</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$5,000</u>	<u>\$5,000</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5,000</b>	<b>\$5,000</b>	<b>\$-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$26,000	\$26,000	\$26,000
Budget Revision-02 per Control Section 28, Budget Act 2014	3,439	-	-
California Volunteers Federal Trust Fund Adjustment per Budget Revision-1	-	415	-
Past year adjustments	<u>-3,090</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$26,349</b>	<b>\$26,415</b>	<b>\$26,000</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			

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**0650 Office of Planning and Research - Continued**

<b>2 LOCAL ASSISTANCE</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
101 Budget Act appropriation	\$129,201	-	-
Affordable Housing and Sustainable Communities Program Funding Transfer	-5,261	-	-
Past year adjustments	-123,940	-	-
Health and Safety Code section 39719 (b) (1) (C)	-	398,801	398,729
Adjust Authority to Align with 20 percent per Updated Revenues	-	-114,000	-
Adjust Greenhouse Gas Reduction Fund Authority to Align with 20 percent	-	79,359	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$364,160</b>	<b>\$398,729</b>
<b>Total Expenditures, All Funds, (Local Assistance)</b>	<b>\$31,349</b>	<b>\$395,575</b>	<b>\$424,729</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$40,173</b>	<b>\$407,648</b>	<b>\$446,281</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
<b>Baseline Positions</b>	56.7	59.7	59.2	\$3,272	\$3,532	\$3,388
Budget Position Transparency	-	-22.3	-21.8	-	-959	-815
<b>Salary and Other Adjustments</b>	-18.0	1.0	1.0	-498	150	196
<b>Workload and Administrative Adjustments</b>						
<b>Integrated Climate Adaptation and Resiliency Program (SB 246)</b>						
Assoc Intergovtl Program Analyst	-	-	1.0	-	-	50
Sr Intergovtl Program Analyst	-	-	1.0	-	-	70
<b>Local Control Funding Formula Evaluation Rubrics</b>						
	-	-	-	-	-	214
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	-	-	2.0	\$-	\$-	\$334
<b>Totals, Adjustments</b>	-18.0	-21.3	-18.8	-\$498	-\$809	-\$285
<b>TOTALS, SALARIES AND WAGES</b>	38.7	38.4	40.4	\$2,774	\$2,723	\$3,103

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